



## Exercises:



- In the following you find some suggestions for exercises, which teach you various tasks one may perform with WIEN2k.
- Please note, that often “calculational parameters” are set to “minimal cpu-time” instead of “fully converged calculations”.
- Do not use such small values for final results and publications without convergence checks !!



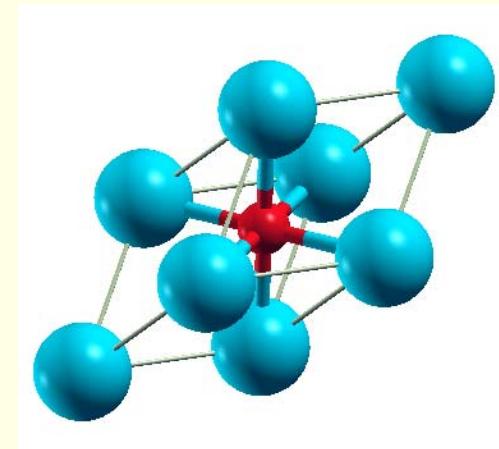
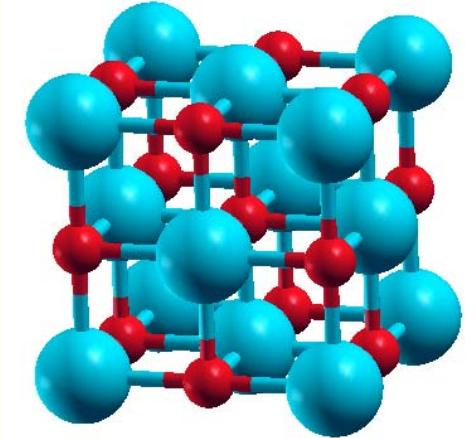
# setup of environment



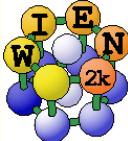
- start **exceed** as indicated on the workshop website
- open a terminal and edit `.bashrc` (insert: `source /gpfs/group/wien2013/rctemplate`)
- close this terminal window and reopen another one
- now you are ready to start w2web. Type:
  - `w2web`
    - at the first time define your userid/pw, port-number (use 8XXX; where XXX refers to your userid uprmiXXX). Note: it will tell you the address and port to connect via a web-browser !
- connect to w2web via a webbrowser (firefox)

# Exercise 1: Getting started:

- i) Open a terminal window (skip points i-iii if done before)
- ii) Start w2web (accept all defaults, specify account, port)
- iii) Connect with firefox to w2web as indicated on the screen of ii)
- iv) Try the "quick-start" example for **TiN** (similar to TiC in the UG)
  - *Generate structure ( $a=4.235 \text{ Ang}$ ; reduce RMT by 1%)*
  - *view structure with Xcrysden (switch from primitive to conventional cell)*

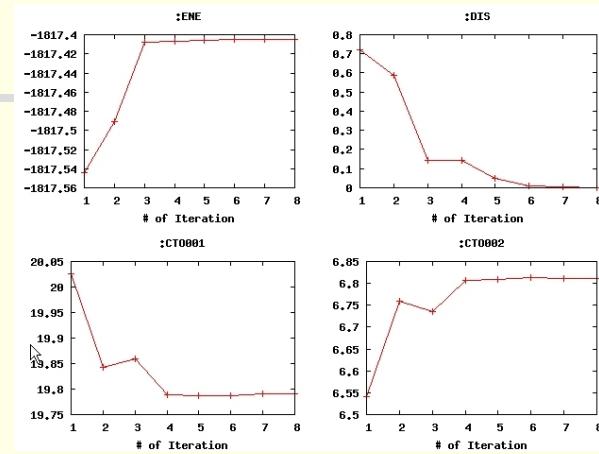


- *init\_lapw (use defaults, 1000 k-points)*
- *scf-cycle (run\_lapw, use defaults; monitor "STDOUT" and "dayfile")*
  - How many iterations did you need ? How long took a single scf-iteration ?

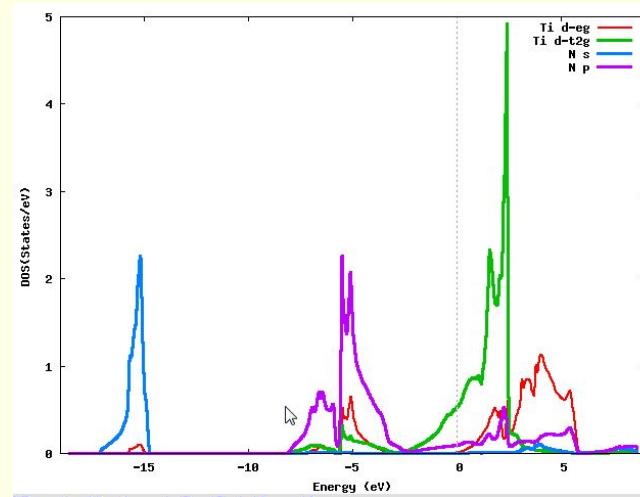
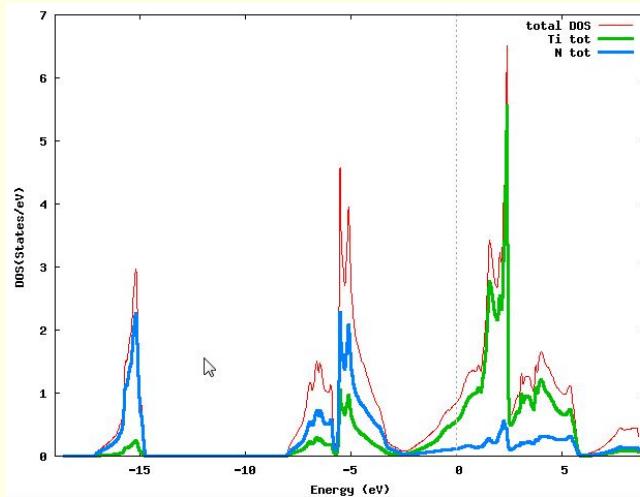


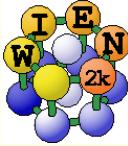
# TiN continued

- *utilities: analyse*
  - (:ENE, :DIS, :CTO) graphically



- *utilities: save\_lapw* (use as save-name: "TiN\_exp\_pbe\_rk7\_1000k")
- *DOS* (plot 7 cases: total + Ti-tot + N-tot and Ti-eg + Ti-t2g + N-s + N-p)

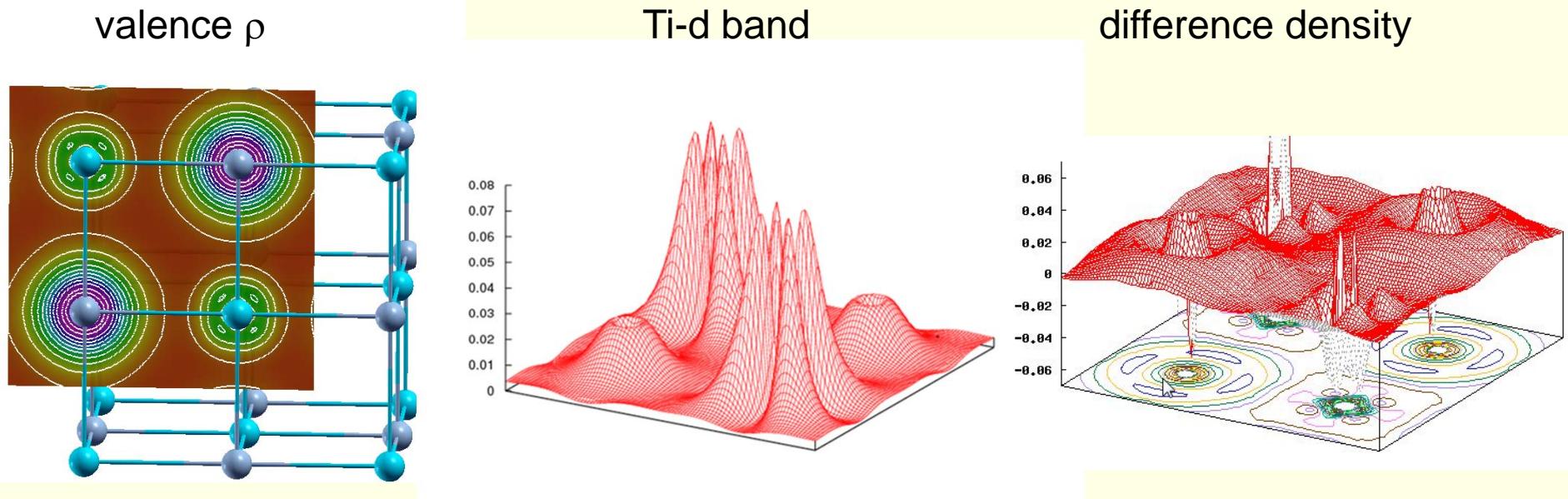


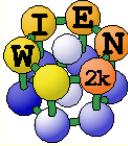


# TiN continued ...

- *electron density* (use xcrysden to select the (100) plane, view it in xcrysden and rhoplot to "understand contour and 3D-plots")

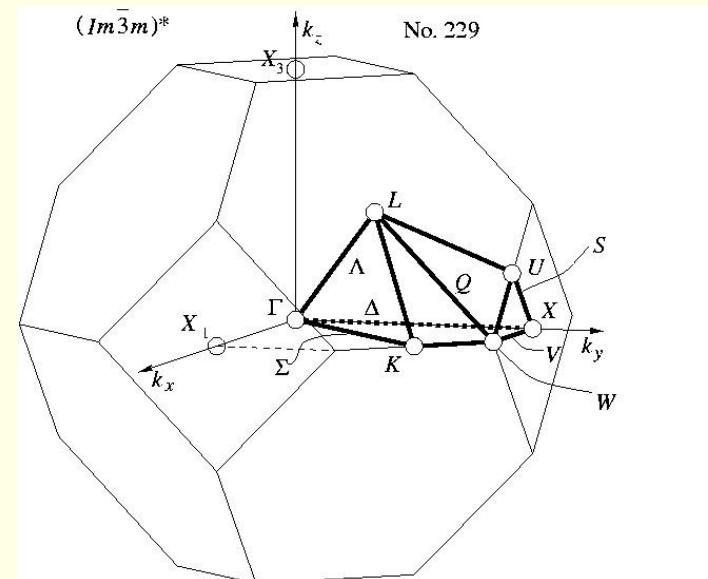
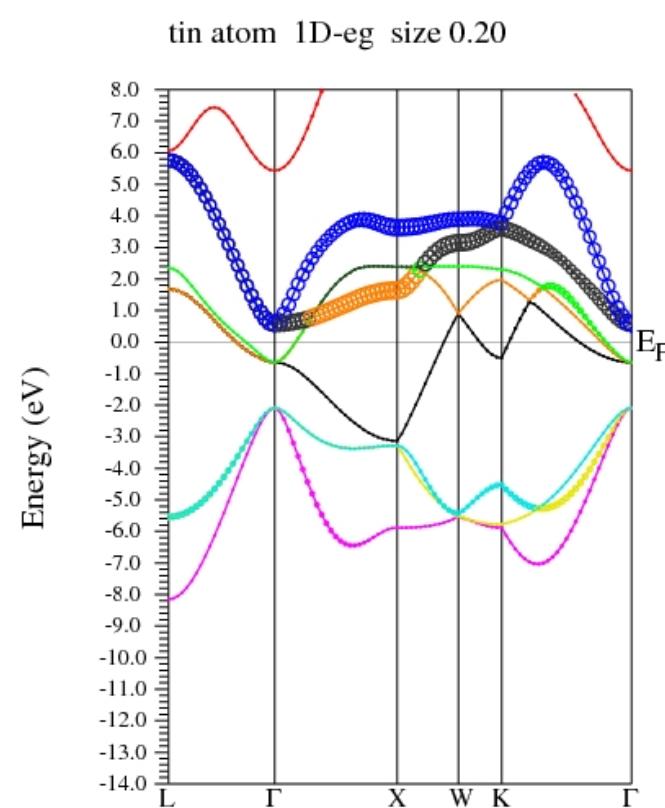
- valence density (without semicore, check TiN.scf1 to find a EMIN which truncates the Ti-3s,3p states); compare the density around Ti with TiC (UG)
- difference density (observe "charge transfer" and " $t_{2g}$ -anisotropy" around Ti)
- densities of the "N-p" and "occupied Ti-d-band" (get the corresponding E-intervals from DOS-plots (in Ry!) and use these energies in the "x lapw2" step; observe the  $e_g$  and  $t_{2g}$  asymmetry around Ti and the different N-p "weights", explain the chemical bonding)





# TiN continued

- bandstructure (along L-Gamma-X-W-K-Gamma with "character plotting")
  - use xcrysden (save as „xcrysden.klist”; select „from xcrysden“ in next step and click generate k-mesh )
  - identify “t2g-” and “eg-” bands (fat band plots)

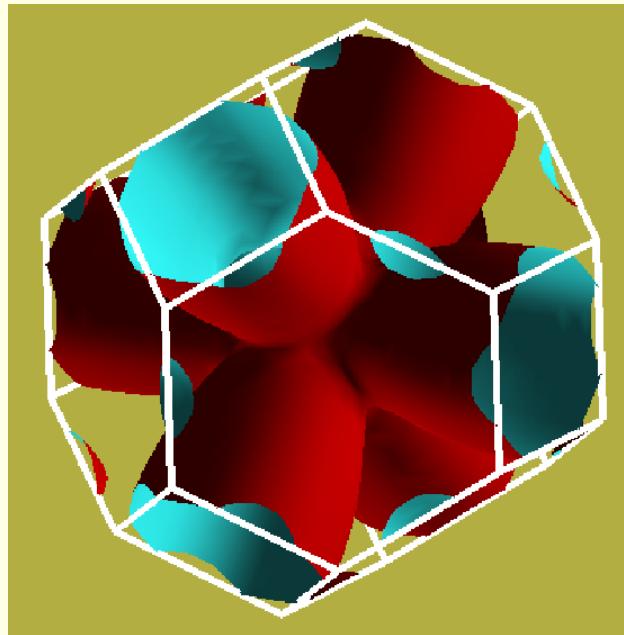


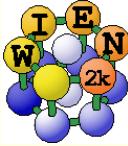


# TiN continued ...

## ■ Fermi surfaces

- open a terminal, change into the TiN directory and issue:
- **xcrysden --wien\_fermisurface .**
  - choose a good k-mesh (eg. 10000 points); (DON'T CHANGE to UNIT 5 !!!)
  - plot the FS for all bands (**9, 10, 11**) which cross  $E_F$  and compare to band structure





## Exercises 2: lattice parameter of TiC

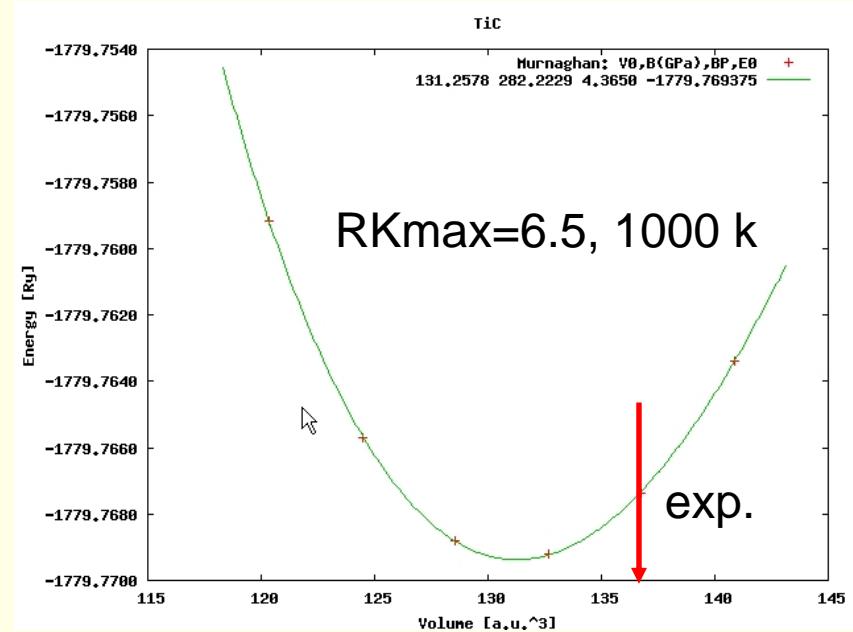
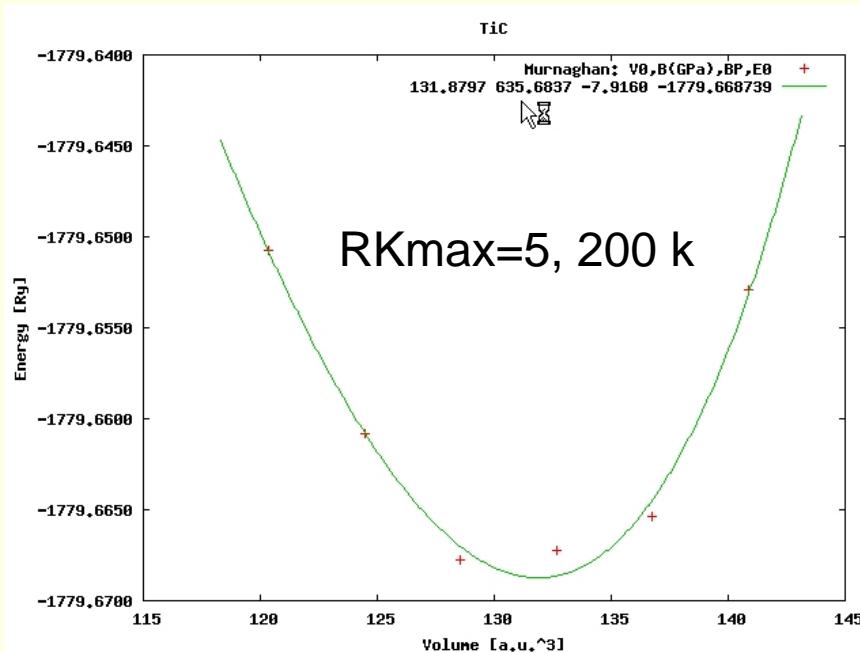
### Testing accuracy: RKmax and k-points



- TiC (fcc, **a=4.328** Ang, **setrmt 4%**)
- a) initialize in expert mode with **LDA, RKmax=5, 200 k-points** (bad values, on purpose !!)
- b) run x optimize and generate 6 structures (-12, -9, -6, -3, 0, 3% volume change)
  - (because of LDA we expect 1-2% smaller lattice parameter (3-8% in volume) than experiment)
- c) edit "optimize.job". Modify the "run\_lapw" and "save\_lapw" commands to:
  - `run_lapw -cc 0.001 -ec 0.0001`
  - `save_lapw ${i}_rkm5_200k`
- d) run optimize.job, plot the results (using \*rkm5\_200k)
- e) set **RKMAX=6.5** in TiC.in1 and x kgen with **1000k**
- f) edit "optimize.job". Uncomment the cp line and modify:
  - `cp ${i}_rkm5_200k.clmsum TiC.clmsum` # Using previously converged densities saves a lot of CPU time!!
  - ...
  - `save_lapw ${i}_rkm6.5_1000k`
- g) repeat step d) (plot the results for \*\_rkm6.5\_1000k")
  
- Find out how RKmax and k-points lead to smooth/non-smooth curves. Estimate good values and compare in particular B and BP (Bulkmodulus and its volume derivative). Fully converged results would require RKmax=8 - 9 , 10000 k and 10 volumes with  $\Delta V=1\%$ .
- You may also do this with another XC-potential (eg. PBEsol) and will see a very large effect ...
  
- Remember: Depending on the specific property you want to calculate (just a DOS, or Energy-Volume curves, or EFG, or structure optimization with forces,...) and the desired accuracy, the types of atoms, insulator/metal and system size you may need different RKmax and k-point samplings:
  - H: RKmax > 2.5; sp-elements: RKmax > 5; d-elements: RKmax > 6; f-elements: RKmax > 7; (see our faq-page)
  - 1 atom/cell, metal: 1000-10000 k-points or more
  - 1 atom/cell, insulator: 100-1000 k-points or more
  - For N atoms/cell you can reduce the k-mesh by a factor N
  
- Remember: Always test your **specific property** for convergence !!



# Volume optimization for TiC



- **create two “cases” (directories) for PORT and MSR1a optimization**

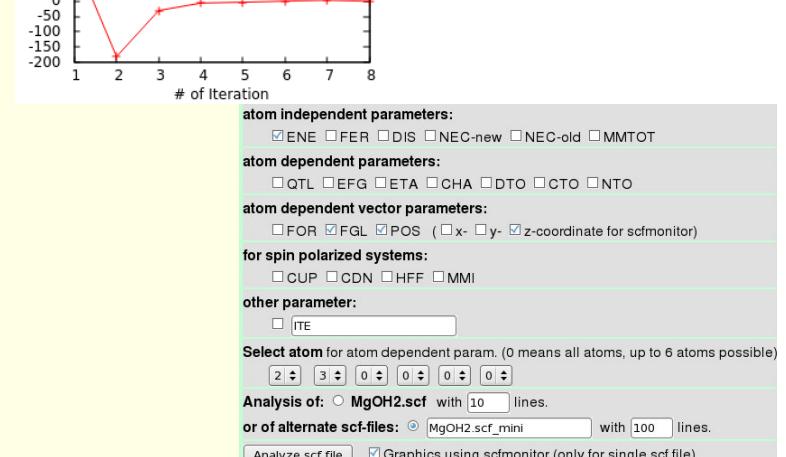
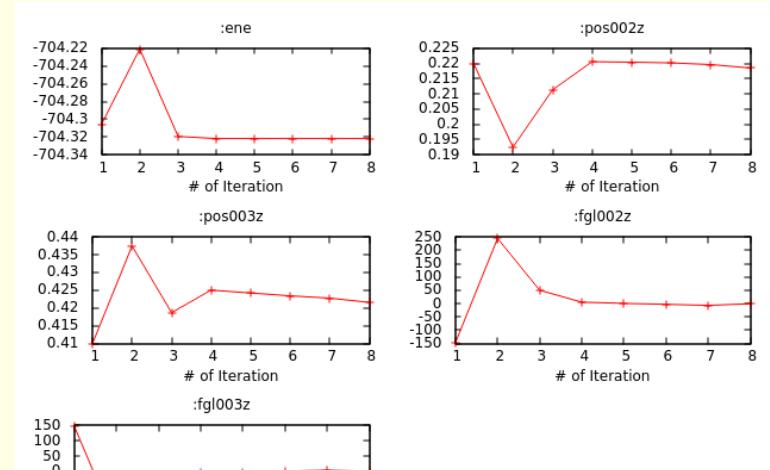
- initialize both cases (or copy after init one case to the other and use „rename\_files“)
- $P-3m1 (164)$ ,  $a=b=3.15$   $c=4.77 \text{ \AA}$   $\gamma=120^\circ$ ;  $Mg(0,0,0)$   $O(1/3,2/3,0.22)$   
 $H(1/3,2/3,0.41)$ ; RMT: reduce by 7%
- `init_lapw -b -numk 100 -rkmax 3`

- **minimization using PORT:**

- `min_lapw` (or „mini-positions in w2web“)
- `save_lapw case_relaxed_rkm3`
- analyze **case.scf\_mini**
  - :ENE :FGL002z :POS002z :FGL003z :POS003z
- Find out how many scf cycles you needed
  - `grep line :ITE '*scf' 1` (in terminal)

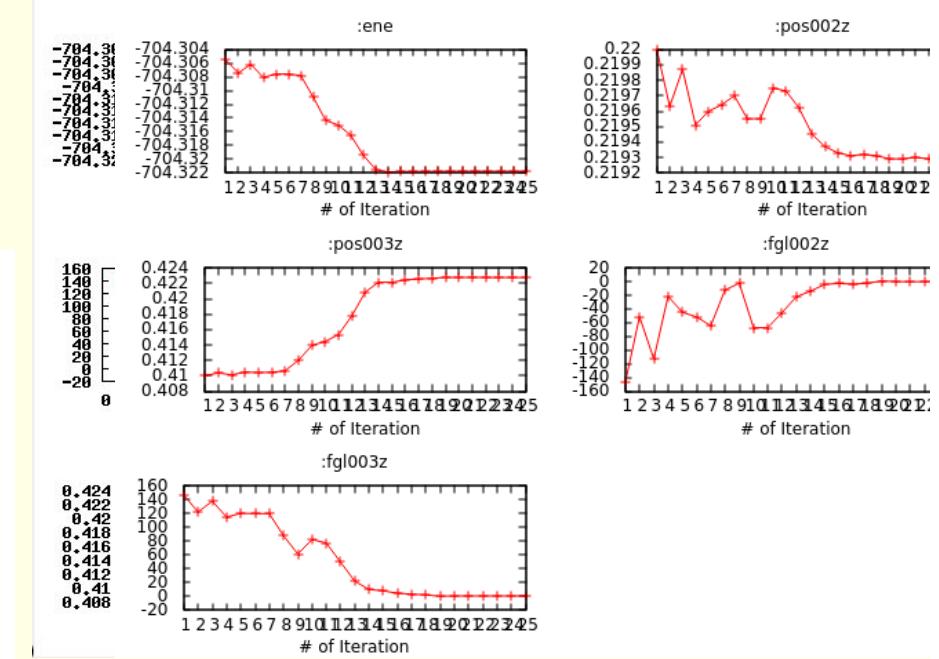
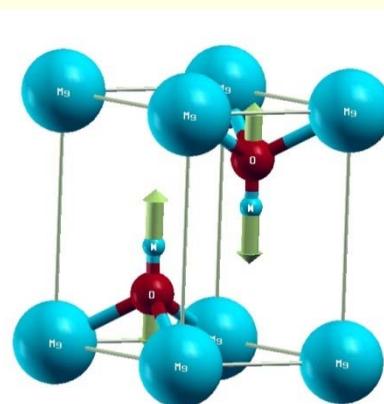
- **check RKMAX convergence:**

- increase RKMAX to 3.5 (`case.in1`)
- `run -fc 1` (and check your forces)



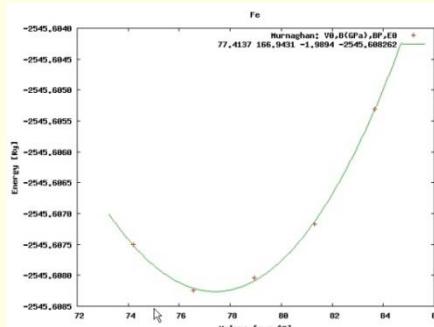
- minimization using MSR1a:

- run crude scf cycle to come closer to „Born-Oppenheimer“ surface
  - run -fc 5; save\_lapw case\_initial
- change MSR1 to MSR1a in case.inm, optimize using:
  - run -fc 1 -cc 0.0001 -ec 0.00001
  - analyze **case.scf** and find out how many scf cycles you needed
    - :ENE :FGL002z :POS002z :FGL003z :POS003z :ITE
  - *save\_lapw case\_final*
  - use the „arrows“ utility to display initial forces and final relaxations  
(see UG p.168)



- Magnetism: bcc Fe ( $a_0=2.86 \text{ \AA}$ )

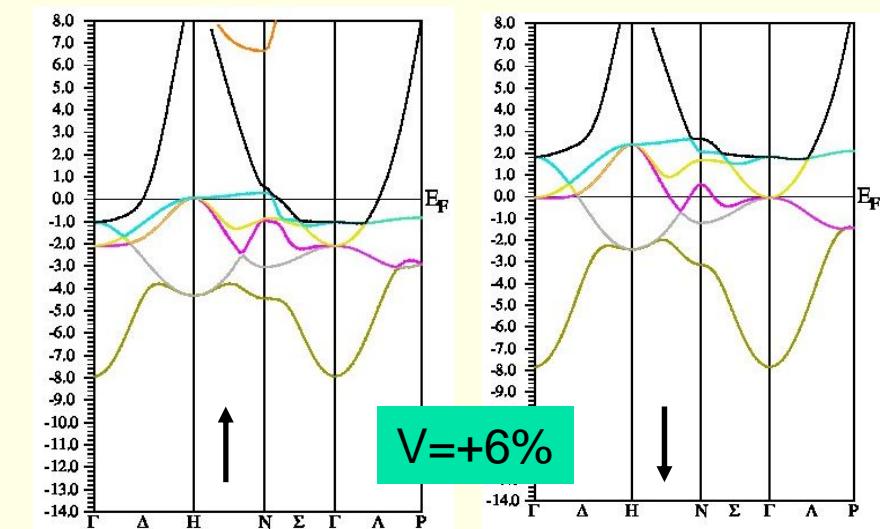
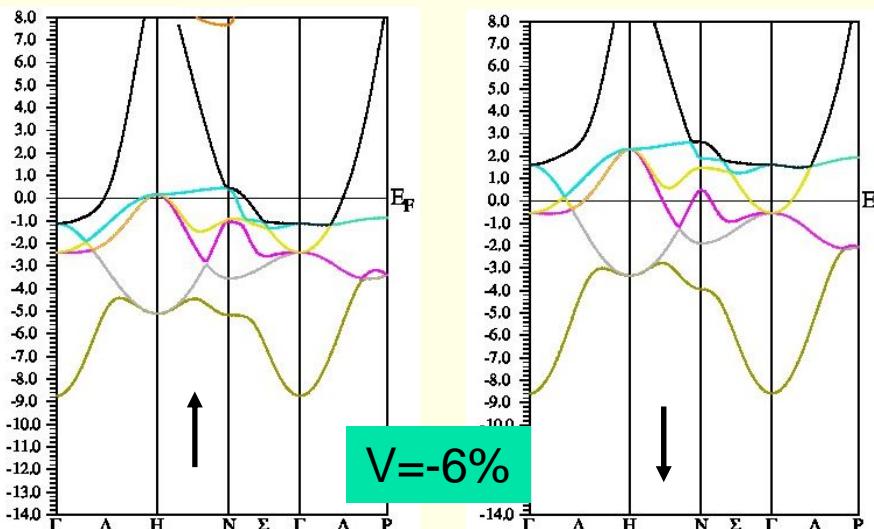
- *setrmt: -3%; 5000k; spin-polarization:yes, use RKmax=7, then 8*
- *do a volume optimization (-6, -3, 0, 3, 6 %) (activate runsp\_lapw instead of run\_lapw !)*
  - check equilibrium volume, :MMT as function of volume



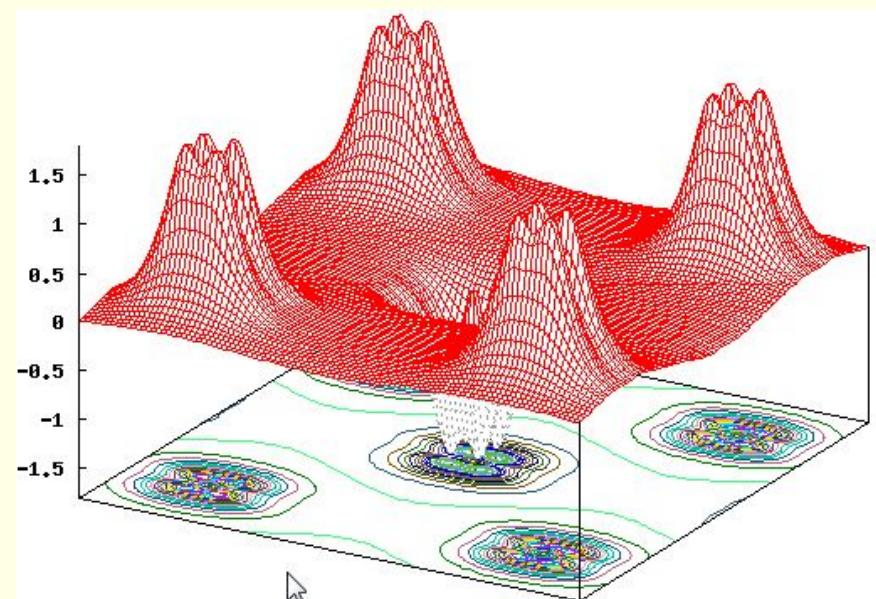
--- MMTOT ----- in 5 files:

Fe\_vol\_\_0.0\_rk8\_5000k.scf::MMTOT: 2.21  
 Fe\_vol\_\_3.0\_rk8\_5000k.scf::MMTOT: 2.26  
 Fe\_vol\_-3.0\_rk8\_5000k.scf::MMTOT: 2.16  
 Fe\_vol\_\_6.0\_rk8\_5000k.scf::MMTOT: 2.31  
 Fe\_vol\_-6.0\_rk8\_5000k.scf::MMTOT: 2.13

- compare bandstructure and DOS for large/small volumes (restore\_lapw for desired volume; x lapw0 "recreates" potentials, adjust EF in case.insp)

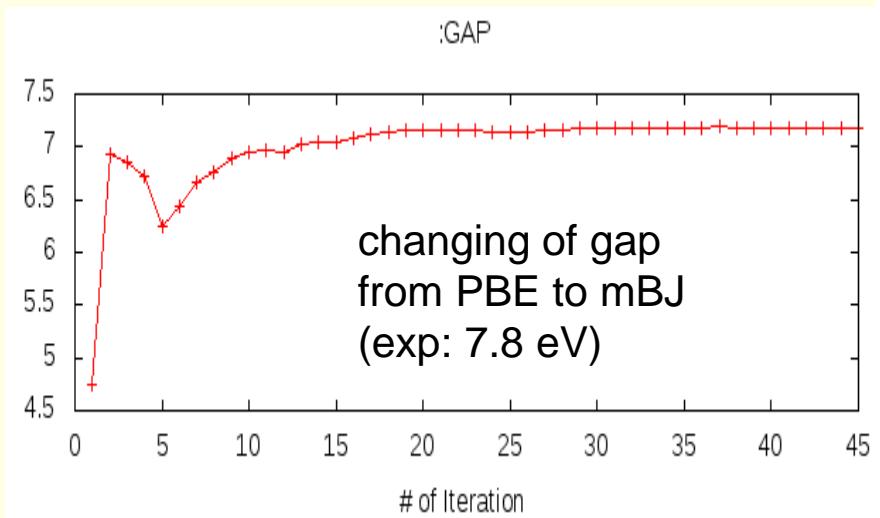
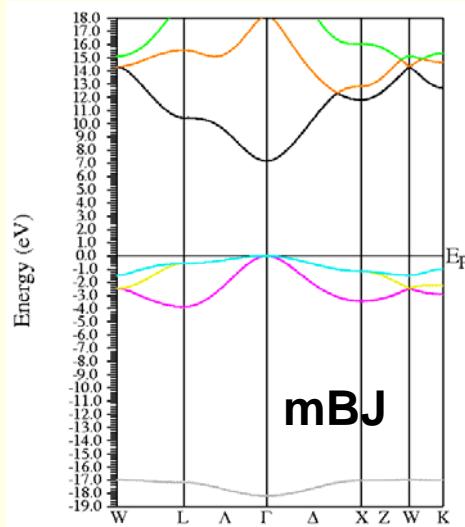
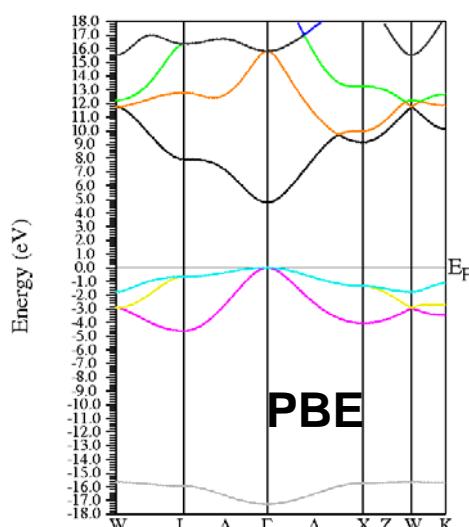


- Antiferromagnetism: bcc Cr ( $a_0=2.885 \text{ \AA}$ ) (use 5000k, -cc 0.001)
  - try a ferromagnetic solution (bcc cell with 1 Cr)
  - antiferromagnetic calculation (P cell with Cr1 and Cr2 (at 0.5,0.5,0.5))
    - choose up/dn for the two Fe atoms when creating case.insp
    - for afminput your symmetry operation is "identity+(0.5,0.5,0.5)"
  - is FM or AFM Cr more stable? (:ENE :-2101.769475 vs. -4203.543208 Ry)
  - is FM stable at all ? check moments (MMI001: 0.000 vs.  $1.116\mu_B$ ; what "means" 0.0 ???)
  - plot spin-densities in the (110) planes
    - observe "spatial localization"
    - $t_{2g}$ -asymmetry
    - negative spin-density in interstitial
      - where does it come from ?
      - compare :QTLxxx



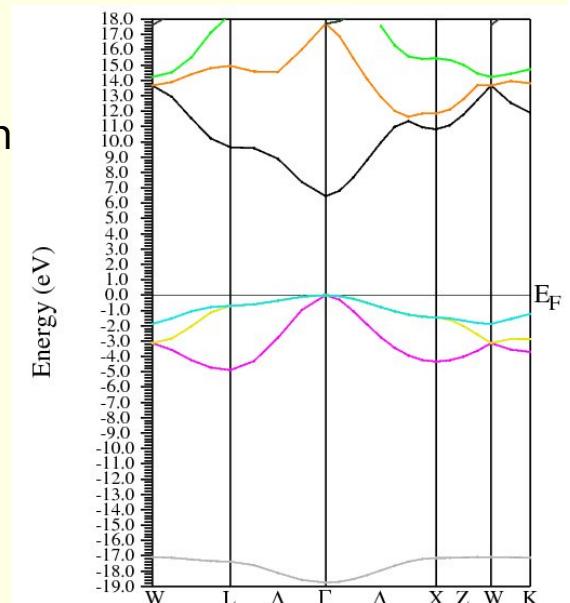
## ■ MgO (NaCl, $a=7.96$ bohr; default initialization; scf-cycle)

- PBE: check the gap (:GAP from "anaylysis"),
  - plot a band structure in PBE (E-range from -19 to 18 eV)
- TB-mBJ:
  - save the PBE calculation, execute:
    - init\_mbj\_lapw (in utils) „phase 1“ of the initialization (see also in the UG 4.5.9)
    - run\_lapw -NI -i 1
    - rm \*.bro\*
    - init\_mbj\_lapw „phase 2“, use original mBJ parameters
  - run scf cycle (note, it may not converge in 40 cycles, submit another run with -NI option)
  - monitor the change of the :GAP
  - plot a band structure (fcc) and compare with PBE



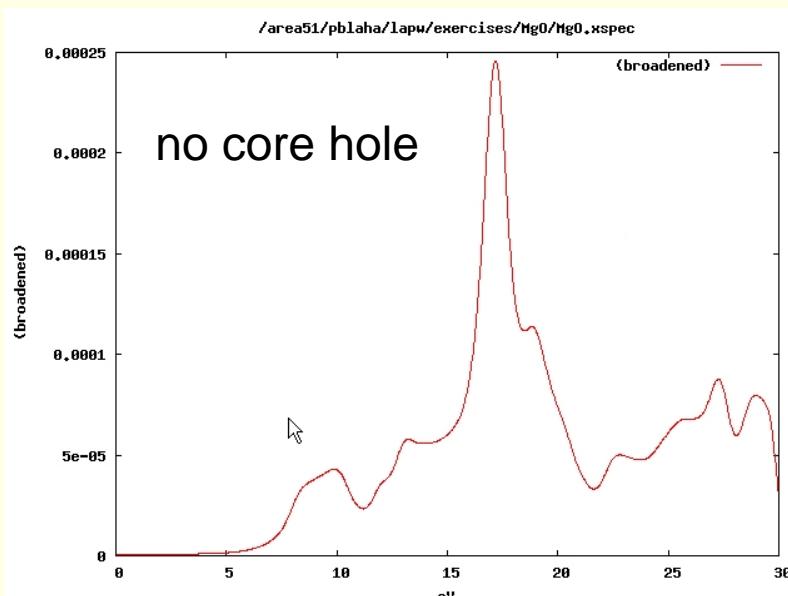
## ■ Perform a hybrid-DFT calculation using YS-PBEO

- create a new case, perform a PBE calculation and save the results.
- the setup for hybrid-calculations can be made in w2web (Utils/init\_hf\_lapw), or in a terminal-window using „init\_hf\_lapw“. (More details are given in the UG 4.5.8)
  - Select NBAND=12 (case.inhf)
  - and a 4x4x4 / 4x4x4 k-point mesh (no reduction)
- scf cycle with **-hf -p** (insert 4 lines with 1:localhost into .machines)
  - we do this in k-parallel since it will take more time, alternatively we could also use a „reduced“ hf-k-mesh, see UG
- monitor the change of the :GAP and compare it with mBJ and exp. gaps (only every 2<sup>nd</sup> value is from HF !)
- plot a band structure:
  - only the k-mesh selection can be done in w2web, then open a terminal and change into the proper directory
  - run\_bandplothf\_lapw -p
  - cp \$WIENROOT/SRC\_templates/case.insp case.insp (insert  $E_F$  and increase the plotting energy range).
  - x spaghetti -hf -p

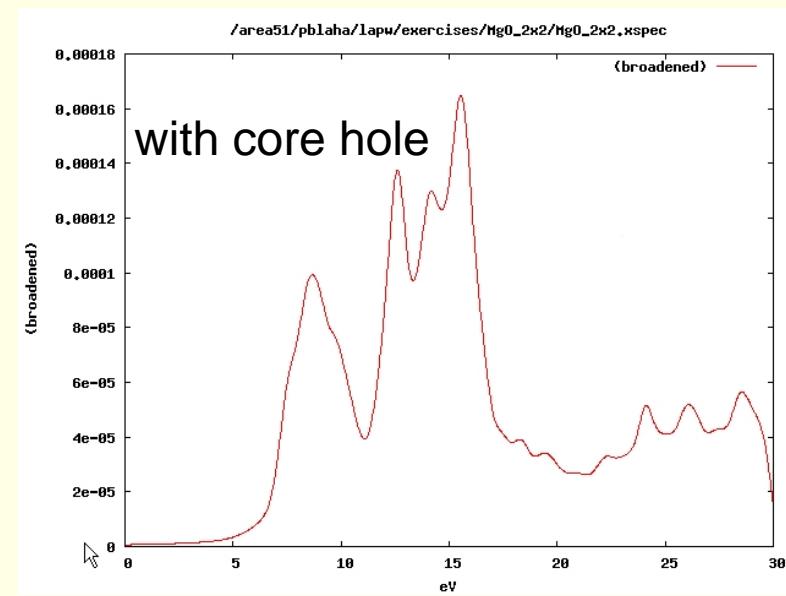


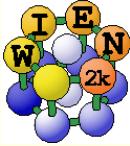
# Exercise 6: Mg K-XAS in MgO

- MgO (NaCl structure,  $a=7.96$  bohr; default initialization with 1000 k-points; scf-cycle)
  - XSPEC task: larger EMAX in MgO.in1; select in MgO.inxs: Mg-K ABS from 0-30 eV, vary broadening)
- Supercells: MgO 2x2x2 FCC-supercell for core-hole simulation
  - create new "session", copy MgO.struct into new directory
  - x supercell; (specify proper struct-filename, 2x2x2, F-lattice)
  - cp supercell-struct file to correct name "case.struct"; "label" 1<sup>st</sup> atom (Mg → Mg1)
  - init\_lapw (with 200k, RKmax=6.5)
  - edit case.inc (remove a core electron from 1<sup>st</sup> atom)
  - edit case.in2 (add one valence electron)
  - run\_lapw (for bigger calc. use -it and compare timings for 1<sup>st</sup> and later iterations!)
  - edit case.in2 (remove extra valence electron)
  - XSPEC task for Mg-K XAS (see above)



Mg-K XAS





## Exercise 7:



### ■ LDA+U: NiO: NaCl structure, A-type AFM along [111]

- *R-cell: 5.605236 5.605236 27.459934 bohr*
- *3 non-equivalent atoms: Ni1 (0,0,0), Ni2 (0.5,0.5,0.5), O ±(.25,.25,.25) ("add 2<sup>nd</sup> position" **after** first "save\_structure"). View and understand the structure (Xcrysden)*
- *case.inst: flip spin for Ni2, make O "non-magnetic"; use 100k-points*
- *GGA calculations (save\_lapw NiO\_gga)*
- *GGA+U calculations (save\_lapw NiO\_gga+u)*
  - (use U=7eV, J=0; search the UG to understand case.inorb/indm)
- *GGA+SO calculations (M=[111], without relativistic LO, Emax=5.0)*
  - after scf: x lapwdm -up -so (for :orb001 in NiO.scfdmup)
- *GGA+U+SO calculations (cp NiO.indm NiO.indmc)*
- *compare DOS (total, Ni1, Ni2, O) for GGA and GGA+U*
  - observe the change in gaps (exp: 4eV) and shift of Ni/O weights
  - compare spin moments (GGA: 1.41; GGA+U: 1.76; GGA+U+SO:1.76;GGA+SO: 1.41 $\mu$ B)
  - compare orbital moments for SO and SO+U calculations (0.12 and 0.09 $\mu$ B)
- *try a TB-mBJ calculation for NiO (start new case, starting from GGA; follow instructions given in P.Blaha's lecture) and compare gap/DOS*



# NiO cont...

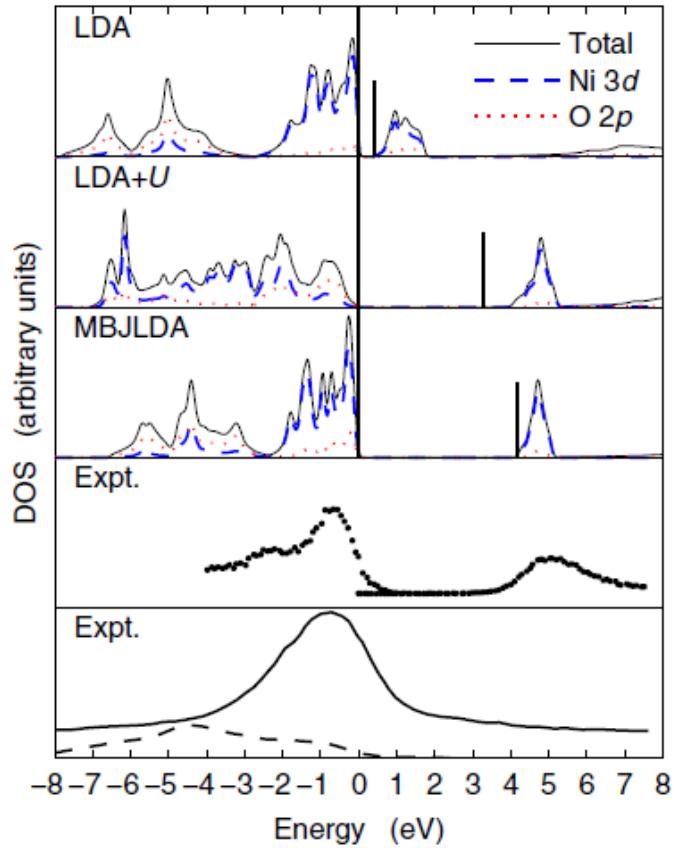
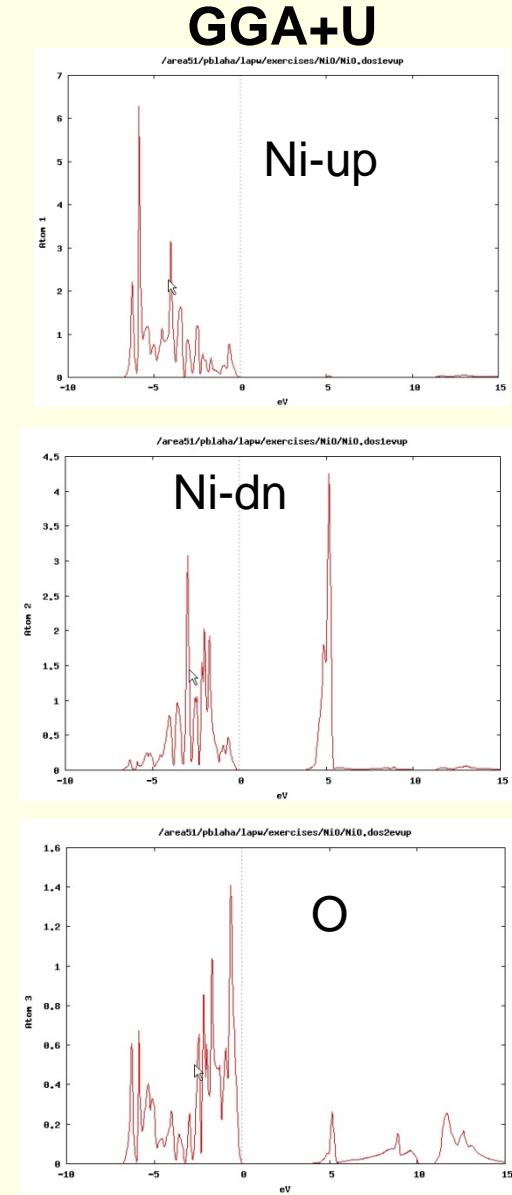


FIG. 2 (color online). DOS of NiO. The vertical bars indicate the end of the fundamental band gap which starts at  $E = 0$  eV. The panels labeled “Expt.” show photoelectron [25] (upper panel) and XES [33] [lower panel, Ni (solid line) and O (dashed line) spectra] measurements.

from Tran, Blaha, PRL 102, 226401 (2009)





## Exercise 7:



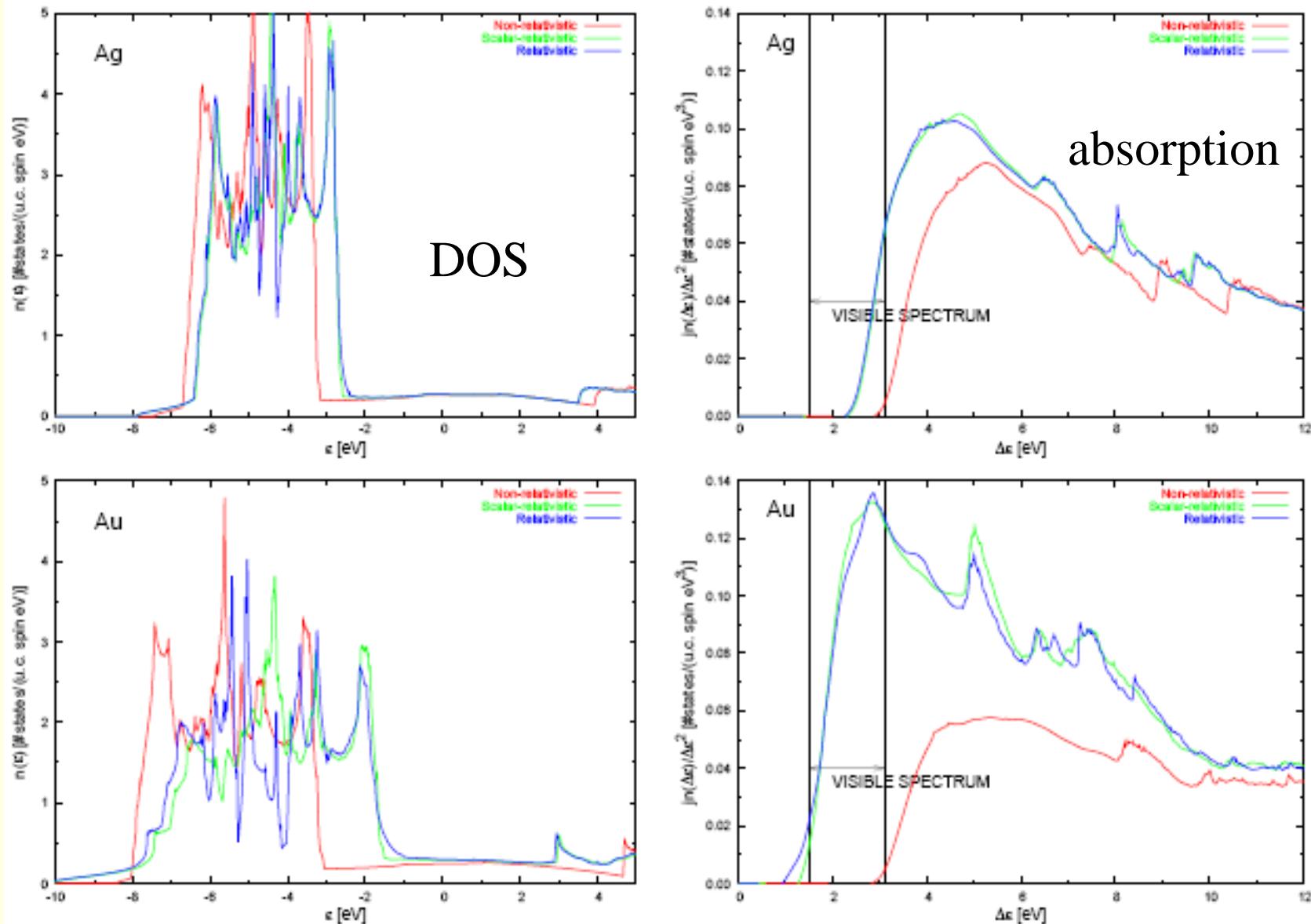
### ■ Optical properties: fcc Al

- $a_0=4.05 \text{ \AA}$
- *init\_lapw* (*use 165 IBZ k-points only!*)
- *run\_lapw*
- *calculate optics* (*as described in the optics lecture, compare with the Al - Fig.*)
  - calculate plasma frequency (*case.outputjoint*) and dielectric function
  - check your results with respect to k-mesh
    - x kgen (check for about 1000 and 4000 **IBZ**-points)
    - x lapw1
    - x lapw2 –fermi
    - x optic, x joint, x kram

### ■ Optical properties: fcc Ag and Au (both have $a_0=4.08 \text{ \AA}$ )

- *compare optics without / with spin-orbit coupling (compare with RL)*
  - do NREL (change RELA to NREL in *case.struct*) first, do the optics
  - do scalar-relativistic calc., do the optics
  - include spin-orbit: *run\_lapw -so* (*case.inso without RLOs* since optic does not support RLOs; put large *Emax* in *case.in1*); optics

# Ag and Au: a relativistic effect





# Exercise 9: O-NMR of tetragonal BaTiO<sub>3</sub>



- This exercise should be done WITHOUT w2web !
- cd work; mkdir BaTiO3; cd BaTiO3
- makestruct (and type in the following information)
  - *BaTiO<sub>3</sub>: SG 99 (P 4 m m), a= 3.9926 3.9926 4.0294 Ang*
  - *Ba (0,0, 0.0217), Ti (0.5,0.5, 0.5363), O\_1 (0.5,0.5, 0.99805), O\_2 (0,0.5, 0.50663)*
- cp init.struct BaTiO3.struct
- init\_lapw -b (expert mode with defaults)
- edit .machines (insert 4 lines with 1:localhost)
- run\_lapw -p -fc 1
- tail \*scf and verify that the forces are “small” (no struct opt. necessary)
- x\_nmr\_lapw -mode in1 -focus O (and view the resulting \*in1c\_nmr file)
- x\_nmr\_lapw -p
  - *tail BaTiO3.outputnmr\_integ*
  - *grep :EFG \*scf0*
  - *grep :ETA \*scf0*
  - *the exp. shifts are 564 and 523 ppm. Find out, which O-atom corresponds to the large/small shielding. (Unfortunately exp. EFGs are not available)*